



**ATTORNEY'S DOCKET NUMBER: 2002834-0223**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Caplan, et al. Examiner:  
Serial Number: 10/798,534 Art Unit: 1614  
Filed: March 11, 2004  
Title: "Conductance of Improperly Folded Proteins Through the  
Secretory Pathway and Related Methods for Treating Disease"

MAIL STOP: MISSING PARTS  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**APPOINTMENT OF ATTORNEY**

On behalf of Yale University, having an address at 451 College Street, New Haven, CT 06520, the undersigned hereby appoints the practitioners associated with Customer Number 24280 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

Respectfully submitted,

Name:

Title:

Date:

**Dorothy K. Robinson**  
**Vice President and General Counsel**  
**Yale University**



**ATTORNEY'S DOCKET NUMBER: 2002834-0223**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Caplan, et al. Examiner:  
Serial Number: 10/798,534 Art Unit: 1614  
Filed: March 11, 2004  
Title: "Conductance of Improperly Folded Proteins Through the Secretory  
Pathway and Related Methods for Treating Disease"

MAIL STOP: MISSING PARTS  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**  
**(37 CFR § 3.73(b))**

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

<b>Inventor</b>	<b>Assignee</b>
Michael J. Caplan	Yale University
Marie E. Egan	Yale University

Thus, the Assignee of the inventors' entire right, title, and interest are:

**Yale University**

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Ownership by the assignee is established as follows:

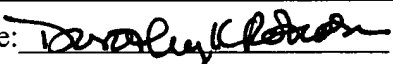
\_\_\_\_\_ documents already recorded in the PTO on \_\_\_\_\_.

Reel \_\_\_\_\_ Frame \_\_\_\_\_; and

X documents separately submitted for recordal to the PTO (a copy of these documents are attached).

**STATEMENT**

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

Name/Title	Assignee	Date
Signature: <u></u> Name: _____ Title: _____	Yale University <b>Dorothy K. Robinson</b> <b>Vice President and General Counsel</b> <b>Yale University</b>	
Signature: _____ Name: _____ Title: _____	Yale University	

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Michael J. Caplan** of Woodbridge, CT and **Marie E. Egan** of Madison, CT; hereby

Sell, assign and transfer to **Yale University**, having a place of business at **451 College Street, New Haven, CT 06520**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed **March 11, 2004**, Serial No. **10/798,534** entitled **"CONDUCTANCE OF IMPROPERLY FOLDED PROTEINS THROUGH THE SECRETORY PATHWAY AND RELATED METHODS FOR TREATING DISEASE"** and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 2004.

\_\_\_\_\_  
**Michael J. Caplan**

STATE OF \_\_\_\_\_  
SS.  
COUNTY OF \_\_\_\_\_.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004, personally appeared  
**Michael J. Caplan** known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
\_\_\_\_\_ this 12 day of August, 2004.

\_\_\_\_\_  
**Marie E. Egan**

STATE OF Connecticut  
SS.  
COUNTY OF New Haven.

Before me this 12 day of August, 2004, personally appeared  
**Marie E. Egan** known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

\_\_\_\_\_  
NOTARY PUBLIC

[Notary's Seal Here]  
3709689\_1.DOC

My Commission Expires: 06/30/2008

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Michael J. Caplan** of Woodbridge, CT and **Marie E. Egan** of Madison, CT; hereby

Sell, assign and transfer to **Yale University**, having a place of business at **451 College Street, New Haven, CT 06520**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed **March 11, 2004**, Serial No. **10/798,534** entitled **"CONDUCTANCE OF IMPROPERLY FOLDED PROTEINS THROUGH THE SECRETORY PATHWAY AND RELATED METHODS FOR TREATING DISEASE"** and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

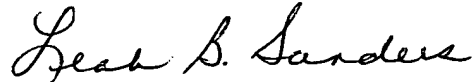
IN WITNESS WHEREOF, I hereto set my hand and seal at New Haven,  
Connecticut this 13<sup>th</sup> day of July, 2004.

  
\_\_\_\_\_  
**Michael J. Caplan**

STATE OF Connecticut  
SS.  
COUNTY OF New Haven.

Before me this 13<sup>th</sup> day of July, 2004, personally appeared  
**Michael J. Caplan** known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

NOTARY PUBLIC



[Notary's Seal Here]

My Commission Expires: 5/31/09

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 2004.

\_\_\_\_\_  
**Marie E. Egan**

STATE OF \_\_\_\_\_  
SS.  
COUNTY OF \_\_\_\_\_.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004, personally appeared  
**Marie E. Egan** known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

NOTARY PUBLIC

[Notary's Seal Here]  
3709689\_1.DOC

My Commission Expires: